5-28-04

Attorney Docket No.: 25452-015

Express Mail Label No.: EV 392157416 US Date of Deposit: May 27, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Therrien, et al.

ERIAL NUMBER:

10/659,642

EXAMINER:

Not Yet Assigned

FILING DATE:

September 10, 2003

ART UNIT:

2186

For:

METHOD AND APPARATUS FOR SERVER SHARE MIGRATION AND

SERVER RECOVERY USING HIERARCHICAL STORAGE

MANAGEMENT

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Supplemental Information Disclosure Statement (2 pages), in duplicate;
- 2. Modified Form 1449/PTO (2 pages), in duplicate;
- 3. Copies of Cited References: A4-25, C1-3; and
- Return Postcard. 4.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 25452-015. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

C. Eric Schulman, Esq., Reg. No. 43,350

Attorney(s) for Applicants

MINTZ, LÉVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

Address all written correspondence to

Customer no.: 30623 Tel: (617) 542-6000 Fax: (617) 542-2241

Date: May 27, 2004

TRA 1923458v1

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby makes of record the documents listed on the attached modified Form PTO-1449.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action based on the merits in the above-identified case. Accordingly, no fee or certification is believed required.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and sign the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicant makes no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS: U.S.S.N.:

Therrien, et al.

10/659,642

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 25452-015.

Respectfully submitted,

C. Eric Schulman, Esq., Reg. No. 43,350

Attorney(s) for Applicants MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

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Customer no.: 30623 Tel: (617) 542-6000 Fax: (617) 542-2241

Date: May 27, 2004

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(use as many sheets as necessary)					Attorney Docket Number	Not Yet Assigned 25452-015		
					Examiner Name			
		EMENT BY API			Group Art Unit	Therrien		
	INFO	RMATION DISC	LOSURE		First Named Inventor			
				ADEN:	Application Number Filing Date	10/659,642 September 10, 2003		03

Express Mail Label: EV 392157416 US

Page 2 of 2 Date of Deposit: May 27, 2004 Attorney Docket No.: 25452-015

Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
	C1	Garcia-Molina, et al., 35 th IEEE Computer Society International Conference, pgs. 573-577 (1990).
	C2	Lyon, J., 35 th IEEE Computer Society International Conference, pgs. 562-567 (1990).
	СЗ	Mohan, et al., Proceedings of the 9 th International Conference on Data Engineering, pgs. 511-518 (1993).

A copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _ , filed _ _, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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